

270 Eligibility Inquiry Transaction TA1 Interchange & 997 Functional Group Acknowledgements

For Batch Submissions/Uploads Only

This document offers help to determine what data elements or data fields are in error when a 270 Eligibility Inquiry is submitted to Medi-Cal by a submitter or provider, and a TA1 Acknowledgement (TA1) plus a 997 Acknowledgement is returned. A TA1 and/or a 997 Acknowledgement containing rejection codes indicates that the previously submitted 270 inquiry transaction was rejected due to data errors. The Acknowledgements identify the data in error and the reason(s) for the rejection.

INQUIRY/DOWNLOAD SCREEN

Within an hour of each Upload—or submitted 270 transaction—a “Download” link appears in the “270” column, which means the submitted file is available to view. There will also be a file in the “TA1 Ack” column. There may or may not be a file in the “997 Ack” column.

Providing the transaction passes the TA1 and 997 validations, there will be a file in the “271 Response” column the next day. This file may contain subscriber eligibility information or AAA Segment errors. For more information on AAA Segment errors, refer to the *271 Eligibility Response Transaction AAA Segment Error Resolution Process* document on the Medi-Cal Web site.

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Inquiry/Download 271 File

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Note: Response 271 file may not be available for download for up to 24 hours after the submission of 270 file. Transactions are available for approximately 30 days.

#	Volser	Status	270	TA1 Ack	997 Ack	271 Response	Upload Date
1	200419	Finished	Download	Download	N/A	Download	5/20/2005 12:39:37 PM
2	200408	Finished	Download	Download	N/A	Download	4/28/2005 1:53:32 PM
3	200407	Finished	Download	Download	N/A	Download	4/27/2005 4:59:44 PM
4	200406	Finished	Download	Download	N/A	Download	4/27/2005 4:43:38 PM
5	200405	Finished	Download	Download	N/A	Download	4/27/2005 4:43:22 PM
6	200404	Finished	Download	Download	N/A	Download	4/27/2005 4:43:16 PM
7	200403	Finished	Download	Download	N/A	Download	4/27/2005 4:42:55 PM
8	200402	Finished	Download	Download	N/A	Download	4/27/2005 4:42:48 PM
9	200401	Finished	Download	Download	N/A	Download	4/27/2005 4:42:43 PM
10	200400	Finished	Download	Download	N/A	Download	4/27/2005 4:42:21 PM
11	200399	Finished	Download	Download	N/A	Download	4/27/2005 4:42:15 PM
12	200398	Finished	Download	Download	N/A	Download	4/27/2005 4:41:54 PM
13	200397	Finished	Download	Download	N/A	Download	4/27/2005 4:41:14 PM
14	200396	Finished	Download	Download	N/A	Download	4/26/2005 9:25:06 AM
15	200395	Finished	Download	Download	N/A	Download	4/26/2005 9:25:01 AM
16	200394	Finished	Download	Download	N/A	Download	4/26/2005 9:24:56 AM
17	200393	Finished	Download	Download	N/A	Download	4/26/2005 9:24:52 AM

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ACKNOWLEDGEMENT TYPES

The following example transaction was **rejected** at the TA1 level; thus there will be neither a 997 Acknowledgement nor a 271 Response. This transaction will need to be corrected and resubmitted.

```
ISA*00*          *00*          *ZZ*610442          *ZZ*XXX999999          *050422*
0800*U*00401*000200348*0*  *::~~TA1*200348  *          *R*022~IEA*1*000200348~
```

The following example transaction was **accepted** at the TA1 level; thus there may be a 997 Acknowledgement (if the transaction fails the 997 validations). If there is no 997 Acknowledgement, then there will be a 271 Response returned the next morning.

```
ISA*00*          *00*          *ZZ*610442          *ZZ*XXX999999          *05042
0*1700*U*00401*000200345*0*T*::~~TA1*200345  *050524*1030*A*000~IEA*1*000200
345~
```

The following example transactions were accepted at the TA1 level but received a 997 Acknowledgement; thus there will be no 271 Response. These transactions will need to be corrected and resubmitted.

With a Medi-Cal Provider Number:

```
ISA*00*          *00*          *ZZ*610442          *ZZ*XXX999999          *050422*
0800*U*00401*000200352*0*T*::~~GS*HB*610442*XXX999999*20050422*080004*1*X*00401
0X092A1~ST*997*000000003~AK1*HS*000000002~AK2*270*000000003~AK3*NM1*6**8~AK4*
9**7*~AK5*R*5~AK9*R*1*1*0*~GE*1*1~IEA*1*000200352~
```

With a National Provider Identifier (NPI):

```
ISA*00*          *00*          *ZZ*610442          *ZZ*1234561890          *050422*
0800*U*00401*000200352*0*T*::~~GS*HB*610442*1234567890*20050422*080004*1*X*0040
10X092A1~ST*997*000000003~AK1*HS*000000002~AK2*270*000000003~AK3*NM1*6**8~AK4
*9**7*~AK5*R*5~AK9*R*1*1*0*~GE*1*1~IEA*1*000200352~
```

TA1 ACKNOWLEDGEMENT

Within each **Rejected** TA1 transaction, there is a data element that reveals what was wrong with the inbound 270 transaction. This error is represented with a code in the data element TA105.

The following example transactions will need to be corrected and resubmitted.

With a Medi-Cal Provider Number:

```
ISA*00*          *00*          *ZZ*610442          *ZZ*XXX999999          *050422*
0800*U*00401*000200348*0*  *::~~TA1*200348  *          *R*022~IEA*1*000200348~
```

With a National Provider Identifier (NPI):

```
ISA*00*          *00*          *ZZ*610442          *ZZ*1234567890          *050422*
0800*U*00401*000200348*0*  *::~~TA1*200348  *          *R*022~IEA*1*000200348~
```

In the above examples, the TA1 Rejection code is “022”, which means that there is an Invalid Control Structure in the inbound transaction. This could be a bad ISA Segment label (“ISX” instead of “ISA”) or a bad GS Segment label, etc.

TA105 Rejection Codes used by Medi-Cal:

- 022 = Invalid Control Structure
- 006 = Invalid Interchange Sender ID
- 008 = Invalid Interchange Receiver ID
- 017 = Invalid Interchange Version ID Value
- 024 = Invalid Interchange Content
- 021 = Invalid Number of Included Groups Value

Within each **Accepted** TA1 transaction, there is a data element that reveals that the inbound 270 transaction was correct at this level of validation. This is represented with a code in the data element TA105. Code 000 = No Error.

With a Medi-Cal Provider Number:

```
ISA*00*          *00*          *ZZ*610442          *ZZ*XXX999999          *05042
0*1700*U*00401*000200345*0*T*  *::~~TA1*200345  *050524*1030*A*000~IEA*1*000200
345~
```

With a National Provider Identifier (NPI):

```
ISA*00*          *00*          *ZZ*610442          *ZZ*1234567890          *05042
0*1700*U*00401*000200345*0*T*  *::~~TA1*200345  *050524*1030*A*000~IEA*1*000200
345~
```

Note: These codes and others (not used by Medi-Cal) are located in the *HIPAA 270/271 ASC X12N Implementation Guide*.

REJECT INFORMATION FOR THE 997 ACKNOWLEDGEMENT – AK3 SEGMENT

Within each 997 AK3 Segment there is the following: a data element that reveals which Segment was in error, the position of that Segment in the inbound transaction, and a rejection code (if there was a rejection at the AK3 level).

The following example transactions will need to be corrected and resubmitted.

With a Medi-Cal Provider Number:

```
ISA*00*          *00*          *ZZ*610442          *ZZ*XXX999999          *050422*
0800*U*00401*000200352*0*T*:~GS*HB*610442*XXX999999*20050422*080004*1*X*00401
0X092A1~ST*997*000000003~AK1*HS*000000002~AK2*270*000000003~AK3*NMI*6**8~AK4*
9**7*~AK5*R*5~AK9*R*1*1*0*~GE*1*1~IEA*1*000200352~
```

With a National Provider Identifier (NPI):

```
ISA*00*          *00*          *ZZ*610442          *ZZ*1234567890          *050422*
0800*U*00401*000200352*0*T*:~GS*HB*610442*1234567890*20050422*080004*1*X*0040
10X092A1~ST*997*000000003~AK1*HS*000000002~AK2*270*000000003~AK3*NMI*6**8~AK4
*9**7*~AK5*R*5~AK9*R*1*1*0*~GE*1*1~IEA*1*000200352~
```

In the above examples, the AK3 Segment in error is the NM1 Segment (see the AK301 data element). This Segment location is “6” (AK302), or the sixth Segment in the transaction (in the inbound transaction count beginning with the ST Segment). Thus, this NM1 Segment belongs to the Receiver Loop. The rejection code is “8”, which means the Segment has Data Element Errors (AK304).

REJECT INFORMATION FOR THE 997 ACKNOWLEDGEMENT – AK4 SEGMENT

Within each 997 AK4 Segment, there is a data element that reveals the position of the bad Data Element within the bad Segment in the inbound transaction, and a rejection code (if there was a rejection at the AK4 level).

The following example transactions will need to be corrected and resubmitted.

With a Medi-Cal Provider Number:

```
ISA*00*          *00*          *ZZ*610442          *ZZ*XXX999999          *050422*
0800*U*00401*000200352*0*T*:~GS*HB*610442*XXX999999*20050422*080004*1*X*00401
0X092A1~ST*997*000000003~AK1*HS*000000002~AK2*270*000000003~AK3*NMI*6**8~AK4*
9**7*~AK5*R*5~AK9*R*1*1*0*~GE*1*1~IEA*1*000200352~
```

With a National Provider Identifier (NPI):

```
ISA*00*          *00*          *ZZ*610442          *ZZ*12345678790          *050422*
0800*U*00401*000200352*0*T*:~GS*HB*610442*1234567890*20050422*080004*1*X*0040
10X092A1~ST*997*000000003~AK1*HS*000000002~AK2*270*000000003~AK3*NMI*6**8~AK4
*9**7*~AK5*R*5~AK9*R*1*1*0*~GE*1*1~IEA*1*000200352~
```

In the above examples, the AK4 Data Element in error is the ninth Element (see the AK401 data element). This Data Element should be the NM109 or provider number. The rejection code is “7”, which means there is an Invalid Code Value (AK403).

REJECT INFORMATION FOR THE 997 ACKNOWLEDGEMENT – AK5 SEGMENT

Within each 997 AK5 Segment, there is a code that reveals if there was a rejection at the AK5 level.

The following example transactions will need to be corrected and resubmitted.

With a Medi-Cal Provider Number:

```
ISA*00*          *00*          *ZZ*610442          *ZZ*XXX999999          *050422*
0800*U*00401*000200352*0*T*:~GS*HB*610442*XXX999999*20050422*080004*1*X*00401
0X092A1~ST*997*000000003~AK1*HS*000000002~AK2*270*000000003~AK3*NM1*6**8~AK4*
9**7*~AK5*R*5~AK9*R*1*1*0*~GE*1*1~IEA*1*000200352~
```

With a National Provider Identifier (NPI):

```
ISA*00*          *00*          *ZZ*610442          *ZZ*1234567890          *050422*
0800*U*00401*000200352*0*T*:~GS*HB*610442*1234567890*20050422*080004*1*X*0040
10X092A1~ST*997*000000003~AK1*HS*000000002~AK2*270*000000003~AK3*NM1*6**8~AK4
*9**7*~AK5*R*5~AK9*R*1*1*0*~GE*1*1~IEA*1*000200352~
```

In the above examples, the AK5 rejection code is “5”, which means that One or More Segments is Missing (AK502).

REJECT INFORMATION FOR THE 997 ACKNOWLEDGEMENT – AK9 SEGMENT

Within each 997 AK9 Segment, there is a code that reveals if there was a rejection at the AK9 level.

The following example transactions will need to be corrected and resubmitted, even though the AK9 has no error codes.

With a Medi-Cal Provider Number:

```
ISA*00*          *00*          *ZZ*610442          *ZZ*XXX999999          *050422*
0800*U*00401*000200352*0*T*:~GS*HB*610442*XXX999999*20050422*080004*1*X*00401
0X092A1~ST*997*000000003~AK1*HS*000000002~AK2*270*000000003~AK3*NM1*6**8~AK4*
9**7*~AK5*R*5~AK9*R*1*1*0*~GE*1*1~IEA*1*000200352~
```

With a National Provider Identifier (NPI):

```
ISA*00*          *00*          *ZZ*610442          *ZZ*1234567890          *050422*
0800*U*00401*000200352*0*T*:~GS*HB*610442*1234567890*20050422*080004*1*X*0040
10X092A1~ST*997*000000003~AK1*HS*000000002~AK2*270*000000003~AK3*NM1*6**8~AK4
*9**7*~AK5*R*5~AK9*R*1*1*0*~GE*1*1~IEA*1*000200352~
```

In the above examples, the AK9 rejection code does not exist, which means that there was no error at this level. Notice that the AK905 Data Element is missing (a trailing Element that was not used and which was dropped in this example).

997 REJECTION CODES USED BY MEDI-CAL – COMBINATIONS AND DEFINITIONS

“11” in AK905 = Two GS Segments (Submitted in One Transaction Set)
“3” in AK905 = No GE Segment
“1” in AK905 = Two GE Segments
“12” in AK905 = GS01 not “HS”
“2” in AK905 = GS08 not “004010X092A1”

AK301 = “ST” & AK302 = “1” & AK304 = “5” means no ST Segment or no SE Segment

AK301 = “ST” & AK302 = “1” & AK401 = “1” & AK403 = “7” means ST01 not “270”

AK301 = “BHT” & AK302 = “2” & AK304 = “5” means no BHT Segment

AK301 = “BHT” & AK302 = “2” & AK401 = “1” & AK403 = “7” means BHT02 not “13”

AK301 = “HL” & AK302 = “3” & AK304 = “3” means no HL Segment at the Source Level

AK301 = “HL” & AK302 = “3” & AK401 = “1” & AK403 = “7” means HL01 is not a number

AK301 = “HL” & AK302 = “3” & AK401 = “3” & AK403 = “7” means HL03 not “20”

AK301 = “NM1” & AK302 = “4” & AK401 = “8” & AK403 = “7” means Source NM108 not “46”

AK301 = “NM1” & AK302 = “4” & AK401 = “9” & AK403 = “7” means Source NM109 not “610442”

AK301 = “HL” & AK302 = “5” & AK304 = “3” means no HL Segment at the Receiver Level

AK301 = “HL” & AK302 = “5” & AK401 = “2” & AK403 = “7” means Receiver HL01 not > Source HL01

AK301 = “HL” & AK302 = “5” & AK401 = “3” & AK403 = “7” means Receiver HL03 not “21”

AK301 = “NM1” & AK302 = “6” & AK401 = “1” & AK403 = “7” means Receiver NM101 is blank

AK301 = “NM1” & AK302 = “6” & AK401 = “2” & AK403 = “7” means Receiver NM102 not “1” & “2”

AK301 = “NM1” & AK302 = “6” & AK401 = “8” & AK403 = “7” means Receiver NM108 not “SV” or “XX”

AK301 = “HL” & AK302 = (seg cntr) & AK304 = “3” means no HL Segment at the Subscriber Level

AK301 = “HL” & AK302 = (seg cntr) & AK401 = “1” & AK403 = “7” means Subscr HL01 not > Receiver HL01

AK301 = “HL” & AK302 = (seg cntr) & AK401 = “3” & AK403 = “7” means Subscr HL03 not “22”

AK301 = (seg ID) & AK302 = (seg cntr) & AK304 = “7” means header or trailer Segments out of Order

AK301 = “HL” & AK302 = (seg cntr) & AK401 = “1” & AK403 = “7” means other Subscriber HL01 not increasing

Note: These codes and others (not used by Medi-Cal) are located in the *HIPAA 270/271 ASC X12N Implementation Guide*.